



# PCI Express<sup>®</sup> 4.0 Electrical Previews

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# Disclaimer

The information in this presentation refers to specifications still in the development process. This presentation reflects the current thinking of various PCI-SIG® workgroups, but all material is subject to change before the specifications are released.

# Outline

- PCIe<sup>®</sup> 4.0 Motivation & Overview
- PCIe Channel Description
- Transmitter
- Receiver
- Reference Clock & SRIS
- Design & Simulation

# PCIe 4.0 Motivations and Assumptions

- We continue to see a requirement to increase PCIe bandwidth
  - ✓ Networking, Storage, High Performance Computing
- Motivations for PCIe 2.x->3.0 apply equally for 3.0->4.0
- Eco-system impact of a new generation drives requirement for  $\geq 2x$  increase in delivered bandwidth
- Desirable to extend PCIe 3.0 infrastructure and PHY architecture for another generation
  - ✓ Moving to a new infrastructure such as electrical or optical waveguides likely breaks backwards compatibility
  - ✓ Highly desirable to preserve current usage models
  - ✓ With incremental improvements 3.0 PHY architecture is capable of higher data rates
- CEM form factor is the most important usage model of PCIe
  - ✓ Can be extended another generation with incremental improvements

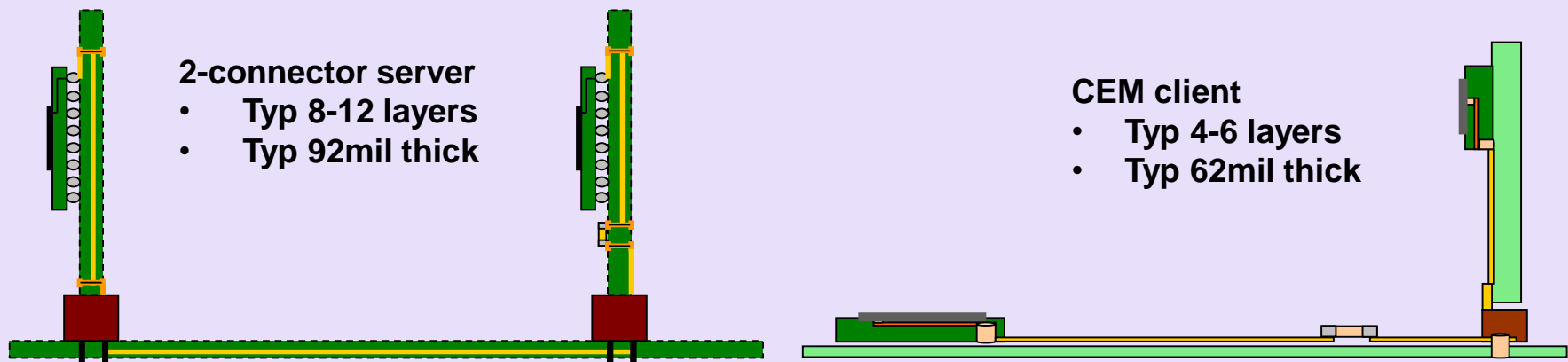
# PCIe 4.0 Overview

- Key attributes of PCIe 4.0
  - ✓ 16 GT/s, using scrambling, same as 8GT/s
  - ✓ Maintains backward compatibility with installed base of PCIe devices
  - ✓ Limited channel reach: approx. 12" one connector
  - ✓ Longer channels require retimers or lower loss channels
- New features
  - ✓ Uniform spec methodology applied across all data rates (as possible)
  - ✓ Support for independent Refclk clocking mode with SSC (SRIS)
  - ✓ Integration of Retimer ECN
- This presentation focuses on items adopted in the 0.5 specification
  - ✓ Transmitter
  - ✓ Reference Clock
  - ✓ Retimer
  - ✓ Receiver
  - ✓ Channel

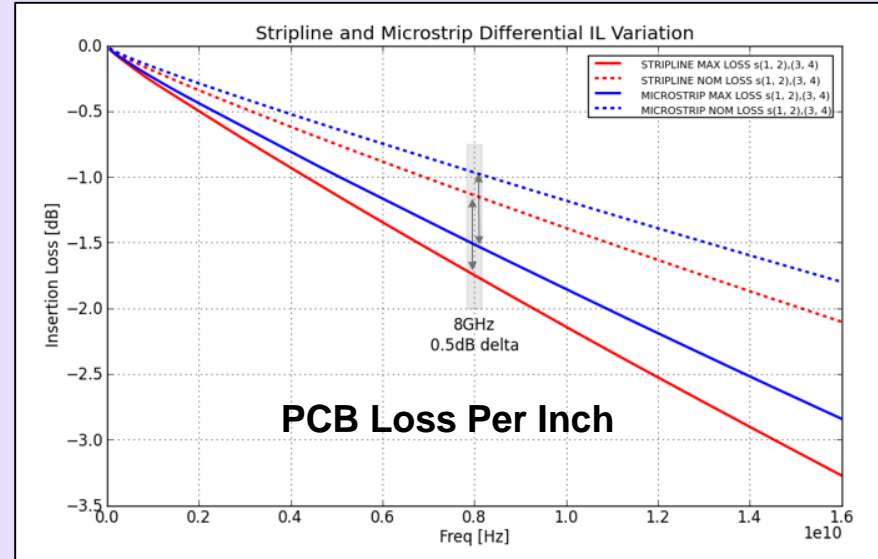
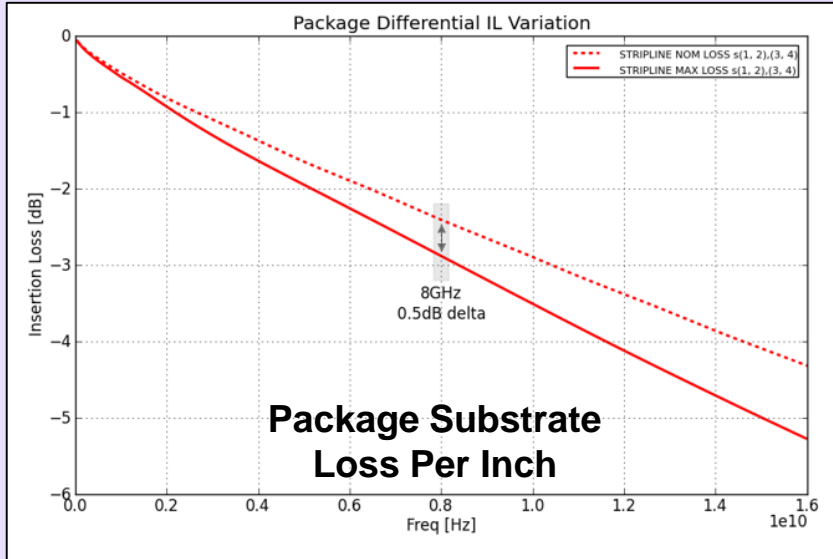
# Channel

# PCI Express Channels

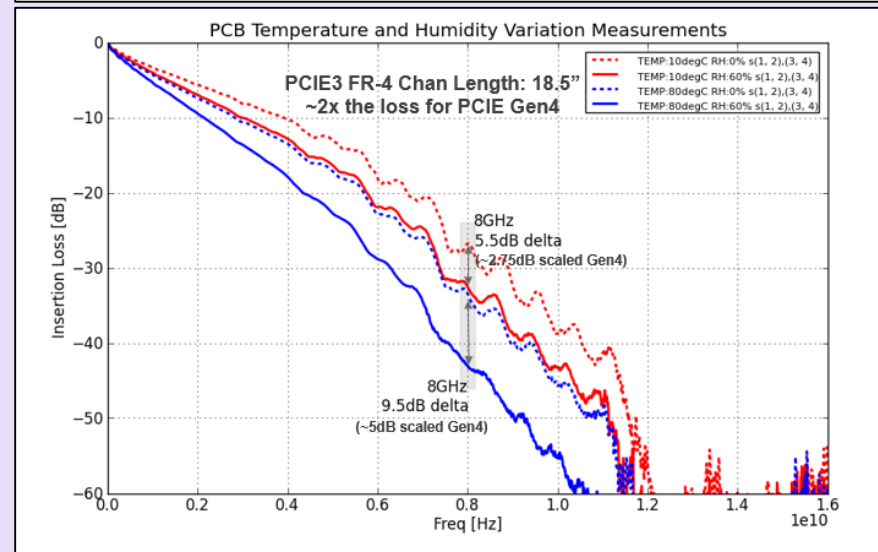
- Card Electromechanical (CEM) form factor
  - ✓ Most widely adopted PCIe implementation
    - CEM spec sets limits and compliance measurement boundaries
  - ✓ Client CEM
    - Short/medium (3-12"), reflection and crosstalk dominated
  - ✓ Server CEM
    - Medium/long (20") loss dominated



# Channel Loss Characteristics



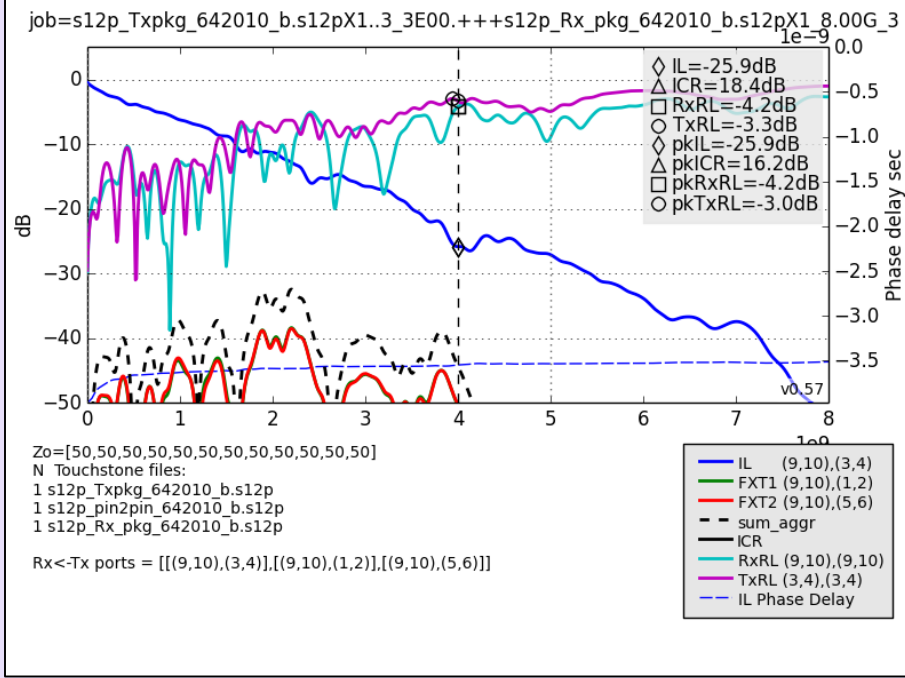
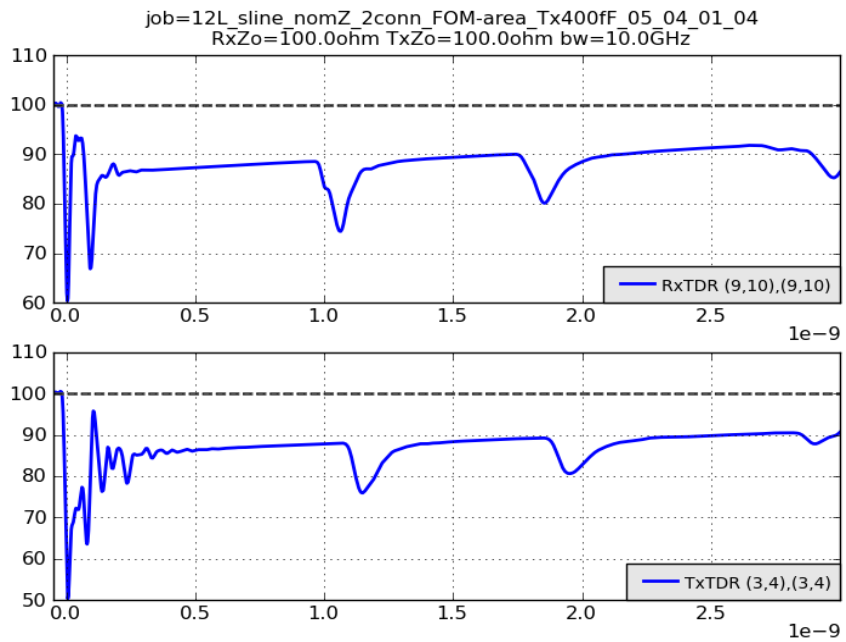
- Package substrate & PCB loss per inch model used for channel study
  - ✓ Tan delta [0.015 to 0.025]
  - ✓ Copper conductivity & roughness
  - ✓ Temperature & humidity variation
- PCIE3 CEM cal channel RH & temp variation measurement @ 8GHz
  - ✓ 12.5" Riser
  - ✓ 4" Mainboard
  - ✓ 2" CLB





# Time & Frequency Domain Response

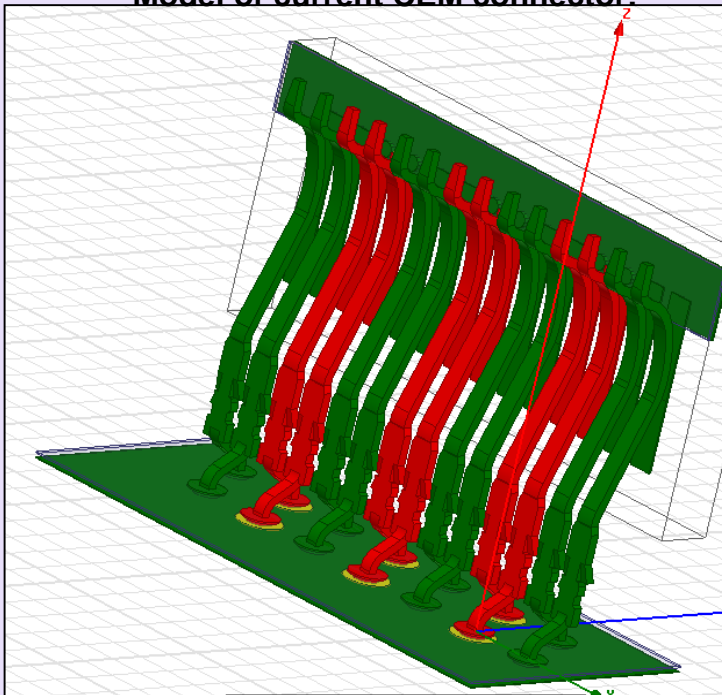
- Impedance discontinuities apparent in TDR response
- Frequency domain response shows complex die-channel interaction



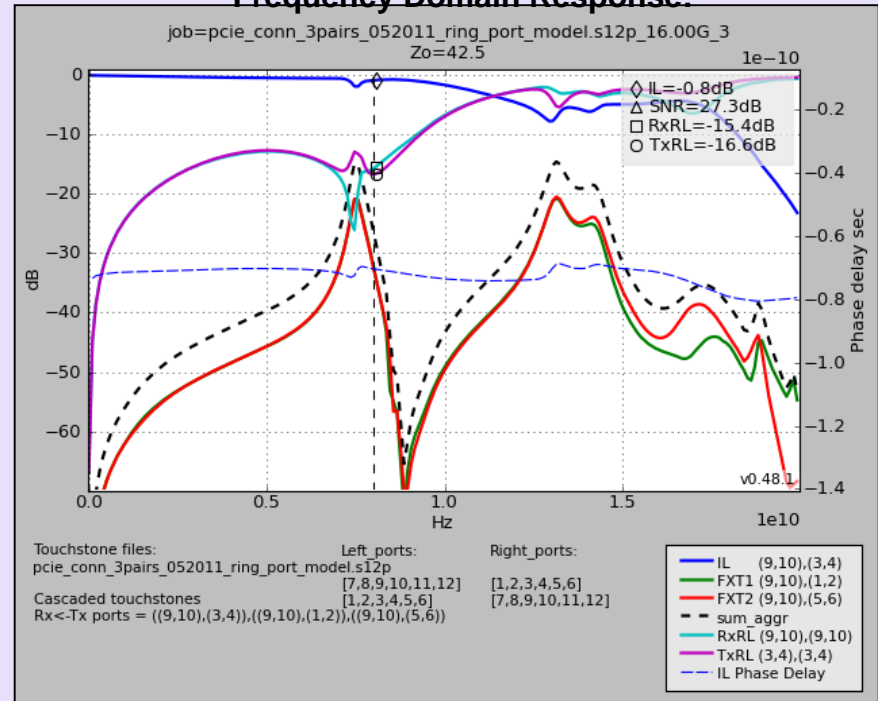
# PCIe Connector/Card Enablers

- CEM connector performance criteria for 16GT/s
  - ✓ Any hardware change must preserve backwards compatibility for all data rates (2.5, 5, 8, & 16 GT/s)
  - ✓ Continue to enable standard plated thru-hole configurations
  - ✓ Qualify a common footprint for surface mount style connectors

Model of current CEM connector:



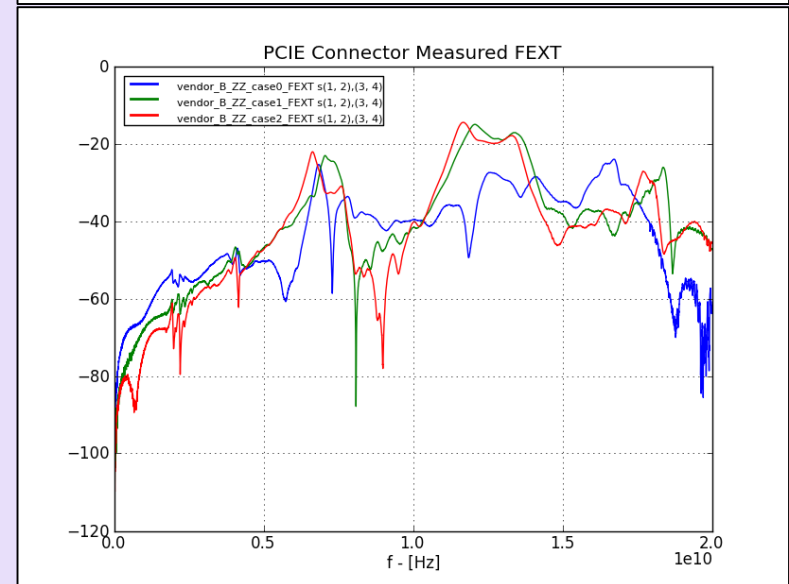
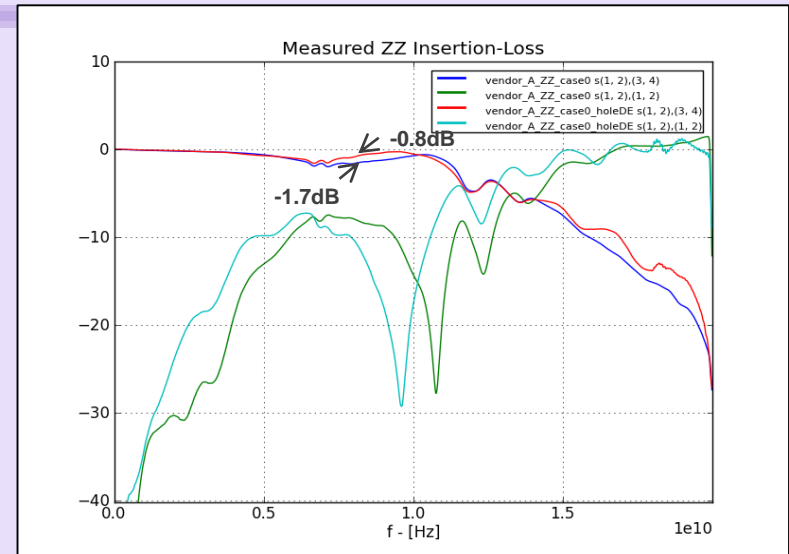
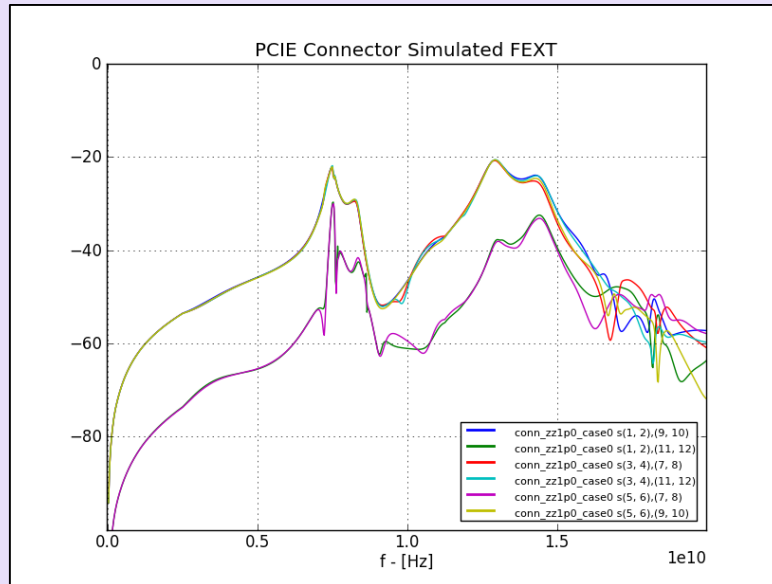
Frequency Domain Response:



# PCIe Connector/Card Enablers

## ■ Plated-thru hole connector

- ✓ Resonance near 8GHz causes excessive crosstalk & reflection
- ✓ Agreement between model and measurement
- ✓ Connector fixture de-embedding reference plane is PCB topside

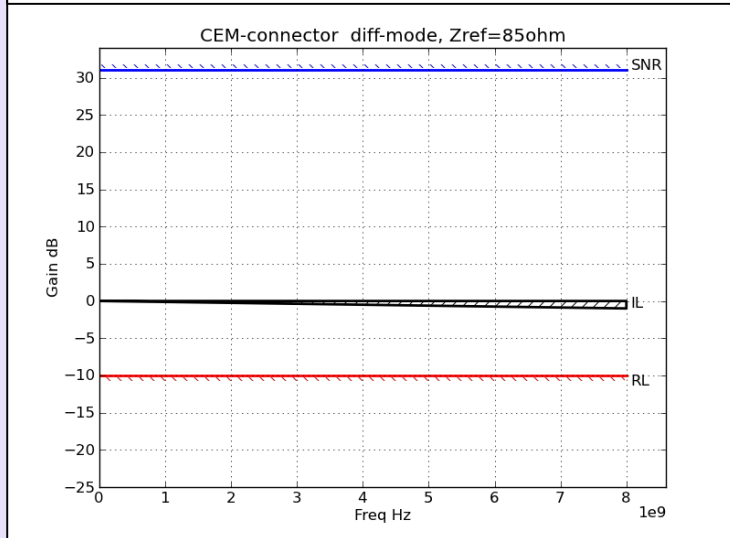
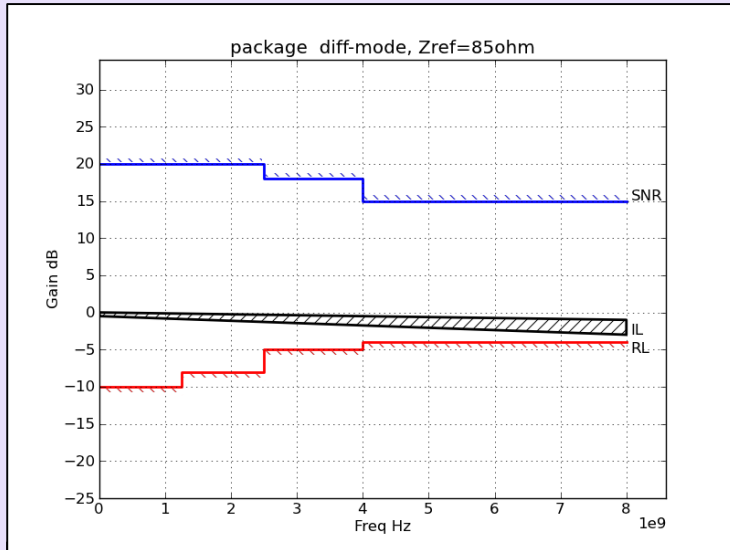


# Channel Recommendations

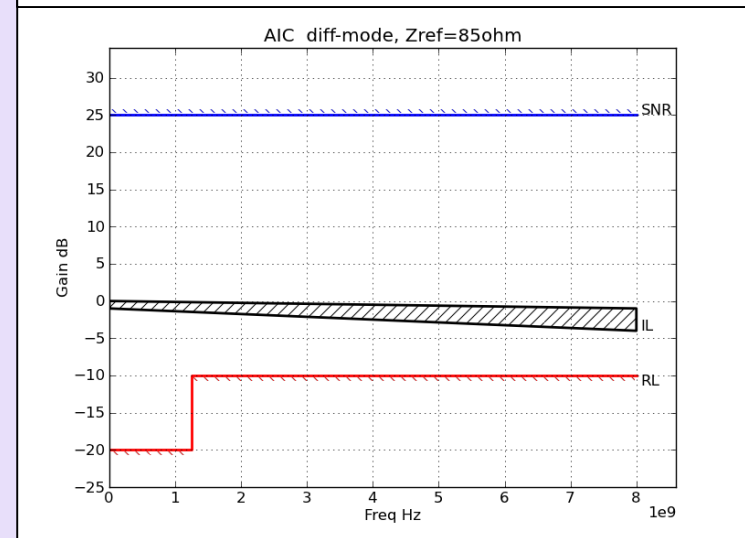
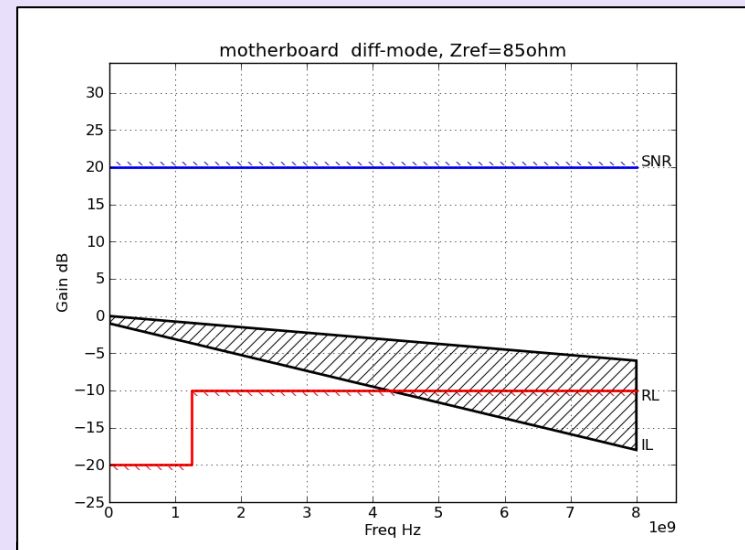
- The CEM form factor is the most important usage model for PCIe
  - ✓ Can be extended by another generation with improvements to CEM connector launch
- Current CEM channels are electrically very complex beyond 8GT/s
  - ✓ Discontinuities and crosstalk from packages, sockets, vias, etch, coupling capacitors, CEM connector
  - ✓ Non-monotonic frequency domain behavior yields unpredictable data rate scaling
- To extend current infrastructure requires enabling SIG membership to design and build 'cleaner' channels
  - ✓ Tuning via launches, minimizing layer transitions, careful layer choices
  - ✓ For longer reach channels, back-drilling, lower loss materials and repeaters/re-timers will be required
- Target max length PCIe 3.0 (8 Gb/s) server channel is ~20" with 1 or 2 connectors
- Path finding for 16GT/s shows ~12" with 1 connector

# Frequency Domain Channel Parameters

## Normative



## Informative

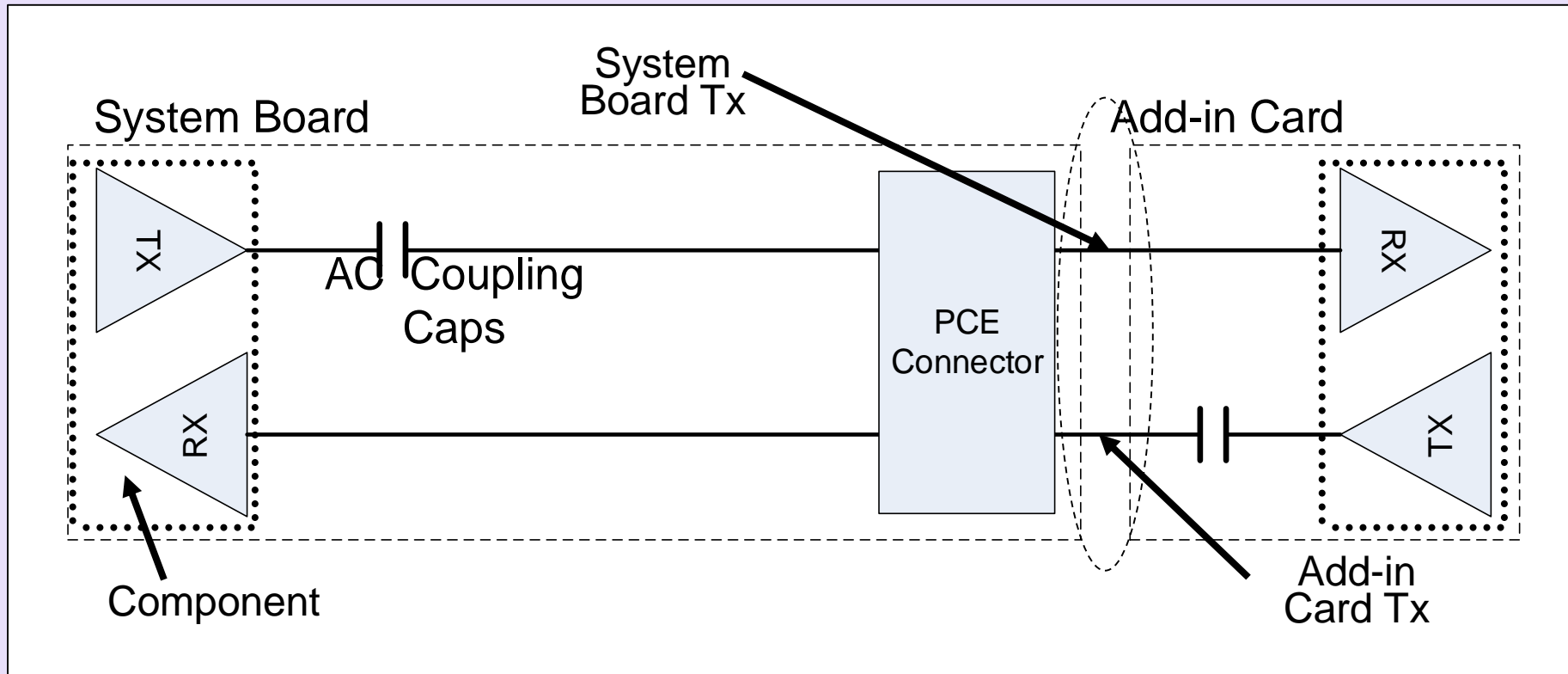


# Transmitter

# Transmitter Specification

- Preset definition
  - ✓ Retain P0-P10 with same definition as PCIe 3.0 at 8GT/s
- Package loss (ps21TX)
  - ✓ Informative for root complex devices, normative for AIC devices
- ✓ Architecture Specific Post Processing
  - ✓ Embedded vs. non-embedded, Common vs. Independent Refclk architectures
- Jitter parameters
  - ✓ Applied uniformly for all four data rates
  - ✓ Number of normative parameters reduced
  - ✓ Informative parameters added
- Return Loss extended up to 8GHz
  - ✓ Same limits as at 4.0 GHz
  - ✓ T-coils likely required to meet limits

# CEM Spec – Tx Path



- *CEM Spec Defines Tx Requirements for Chip + Interconnect*
- *No Separate Tx Chip Or Interconnect Only Requirements.*



# Summary of Base vs. CEM Differences for Tx Testing

- CEM Tx Testing is at the end of the CEM reference channel
  - ✓ Eye can already be closed at CEM connector with long channel motherboards
  - ✓ Waveform-based test with reference equalizer application in post-processing was chosen as the only option to assess overall Tx interoperability of channel plus silicon
- CEM Tx test is an eye test @  $BER \leq 10^{-12}$  after applying the reference equalizer
  - ✓ No jitter decomposition beyond  $R_j/D_j$  due to end of channel reference point
- CEM Tx eye test only required to pass with “best” preset

# Summary of Base vs. CEM Differences for Tx Testing

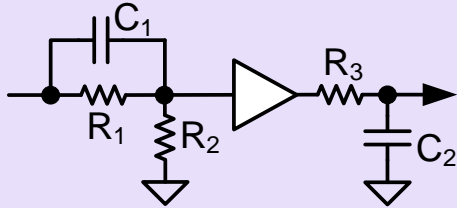
- Motherboard Tx test is done with real motherboard clock (not a clean/lab reference clock)
  - ✓ Do not want to add cost/complexity and require a motherboard to provide method for external clock source
  - ✓ Want a method that can test real, off-the-shelf motherboards
- Motherboard Tx test is done by sampling data lane under test and 100 MHz reference clock simultaneously (dual port methodology)
- Explore consistency between Base (4.0) and CEM (4.0)
  - ✓ Specified/Recommended measurement/calibration method for eye after reference equalizer
  - ✓ Study possible test/reference channel commonality

# Receiver

# Receiver Specification

- Stressed eye methodology applied to all data rates
  - ✓ Stressed jitter and voltage as a single test
- ✓ Calibration channel defined by data rate dependent mask
  - ✓ Current direction to make variable at 16GT/s
  - ✓ Minimize Rj/Sj/DM variation across different set-ups
- Separate Root Complex and AIC behav pkg models
- Behavioral Rx equalization data rate dependent
  - ✓ 2.5 and 5.0G: none
  - ✓ 8.0 and 16.0G: CTLE and DFE (8G: 1 tap, 16G, 2 taps)
- Eye height minimum reduced to 15mV for 16G

# Receiver Linear Qualizer



$$H(s) = \frac{sC_1R_1R_2 + R_2}{sC_1R_1R_2 + R_1 + R_2} \cdot \frac{1}{sC_2R_3 + 1}$$

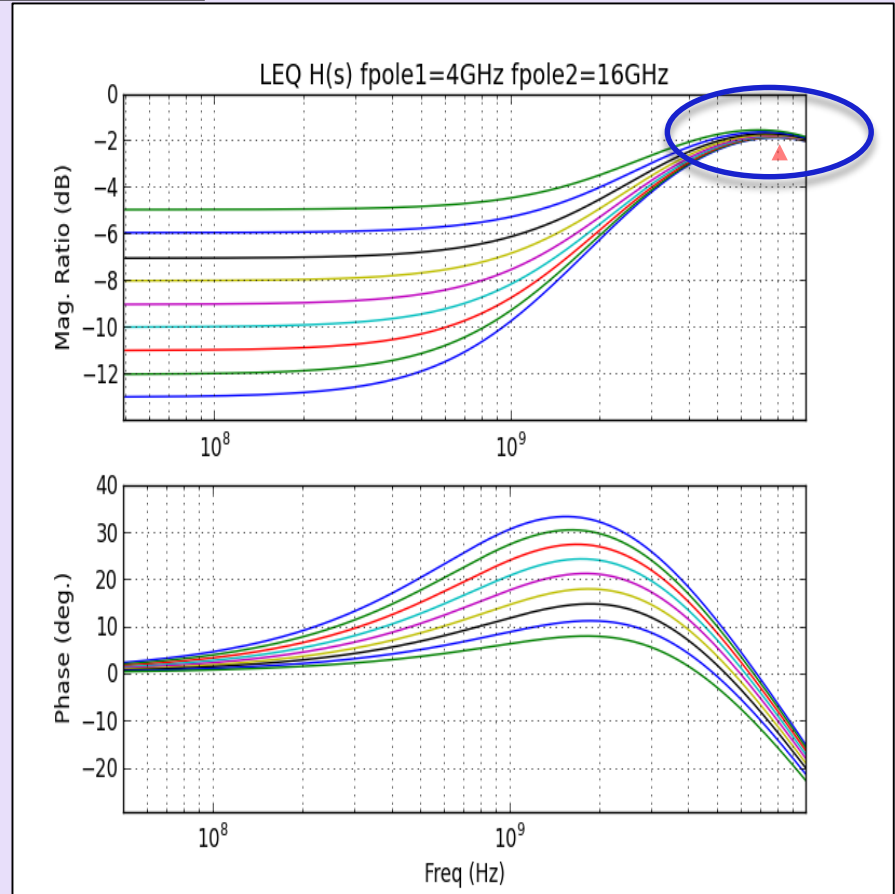
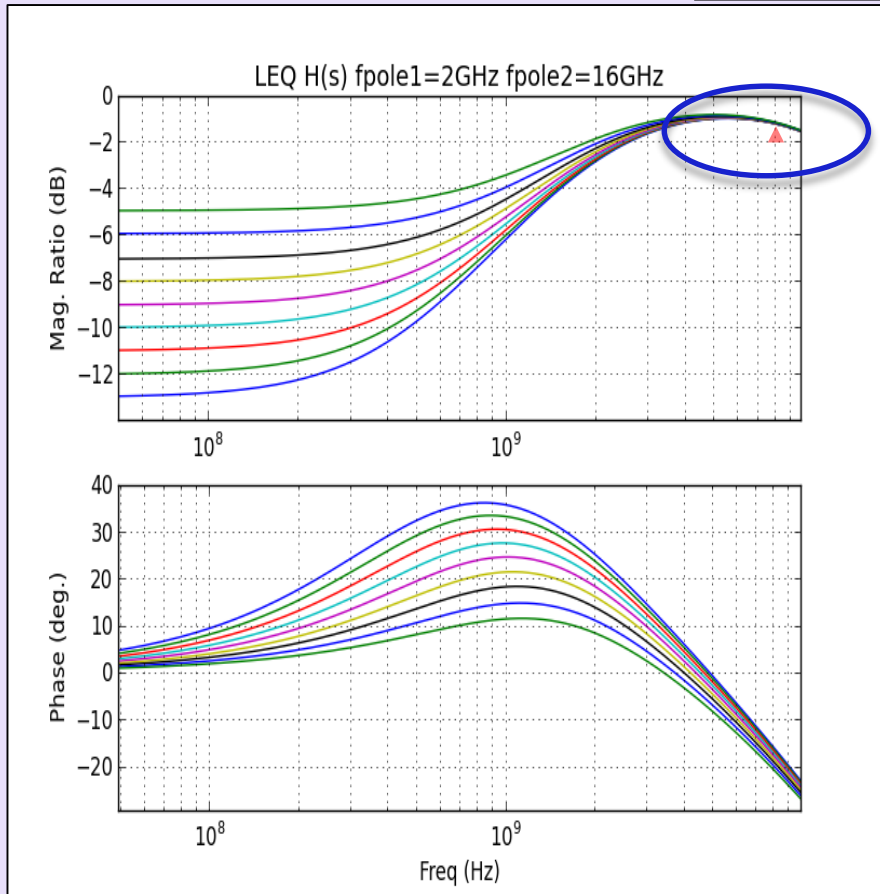
$$H(s) = \frac{R_2}{R_1 + R_2} \cdot \frac{\frac{s}{\omega_z} + 1}{\frac{s}{\omega_{p1}} + 1} \cdot \frac{1}{\frac{s}{\omega_{p2}} + 1}$$

$$G_{DC} = \frac{R_2}{R_1 + R_2}$$

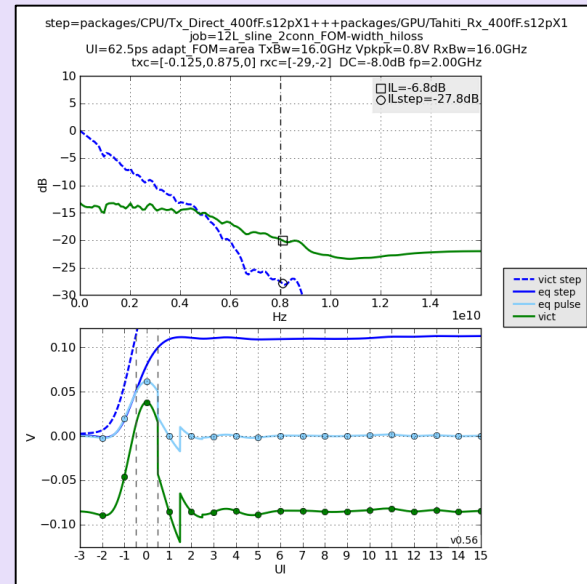
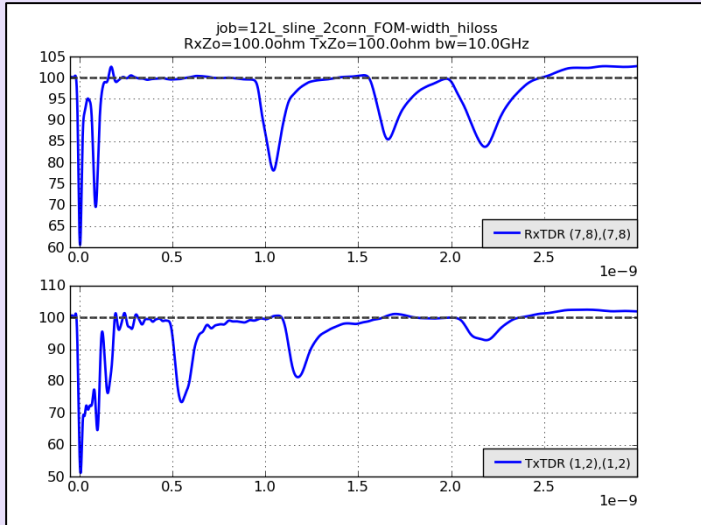
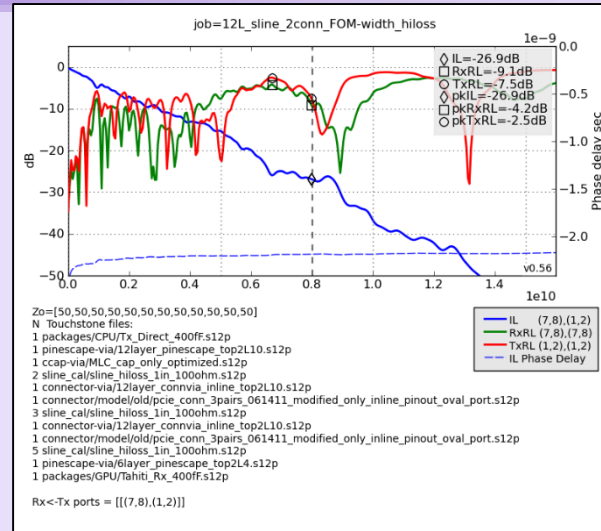
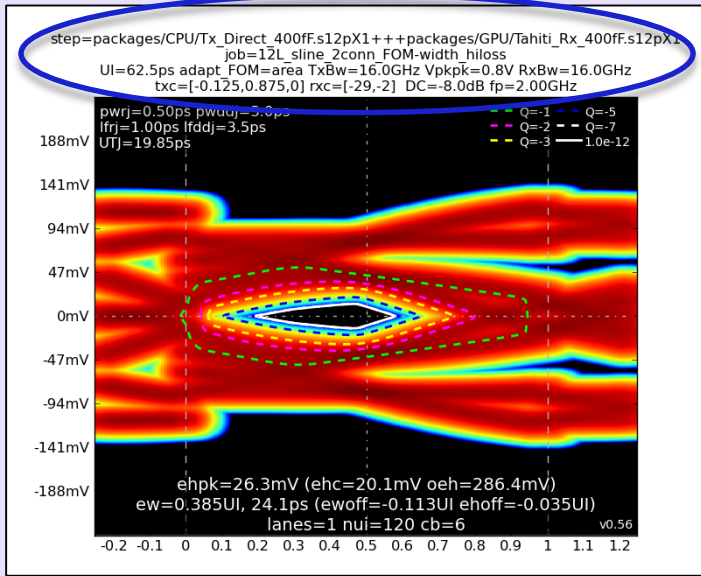
$$\omega_{p1} = \frac{R_1 + R_2}{C_1R_1R_2}$$

$$\omega_z = \frac{1}{C_1R_1} = G_{DC}\omega_{p1}$$

$$\omega_{p2} = \frac{1}{C_2R_3}$$



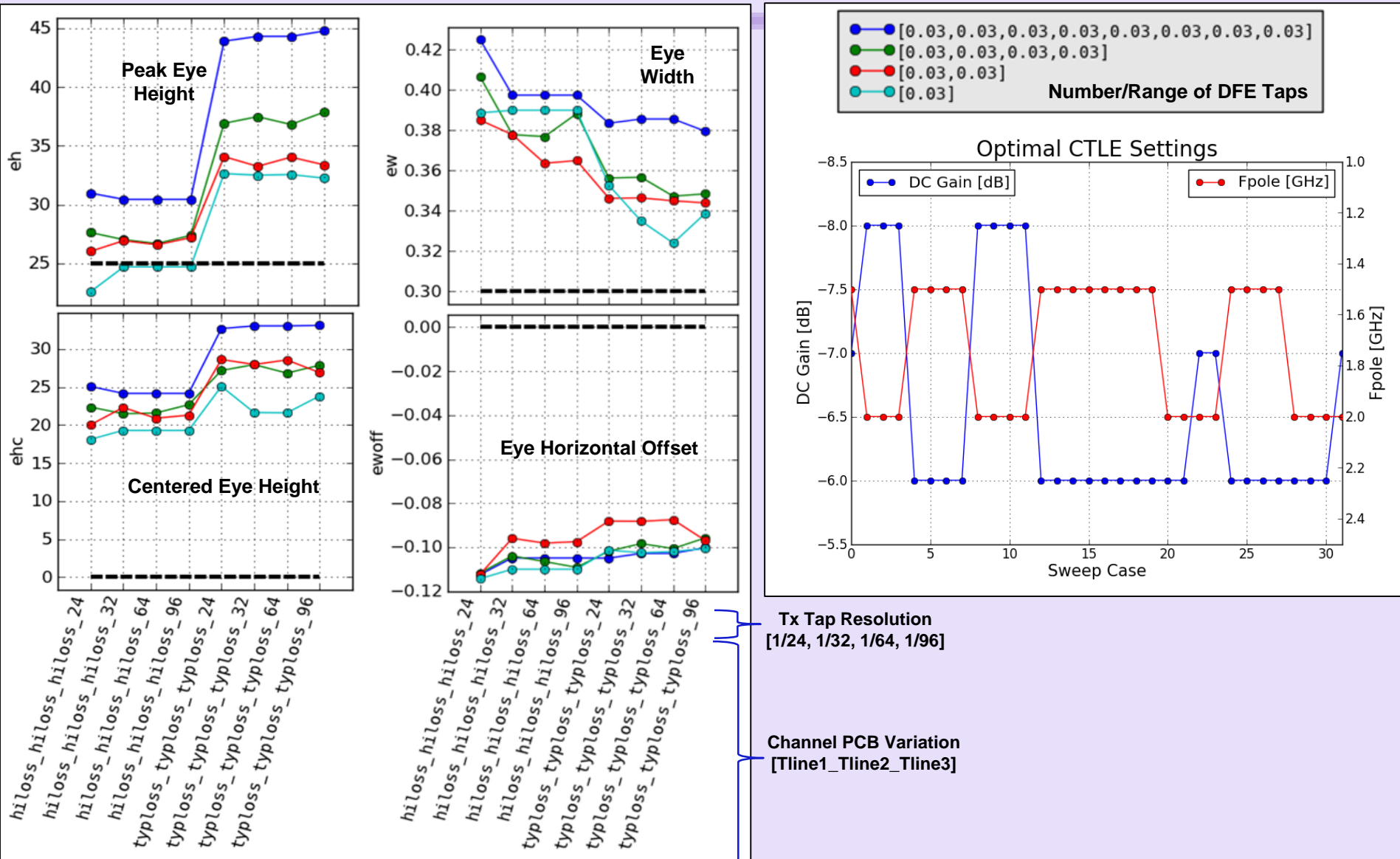
# EQ Tuning – High Loss Two Connector Server





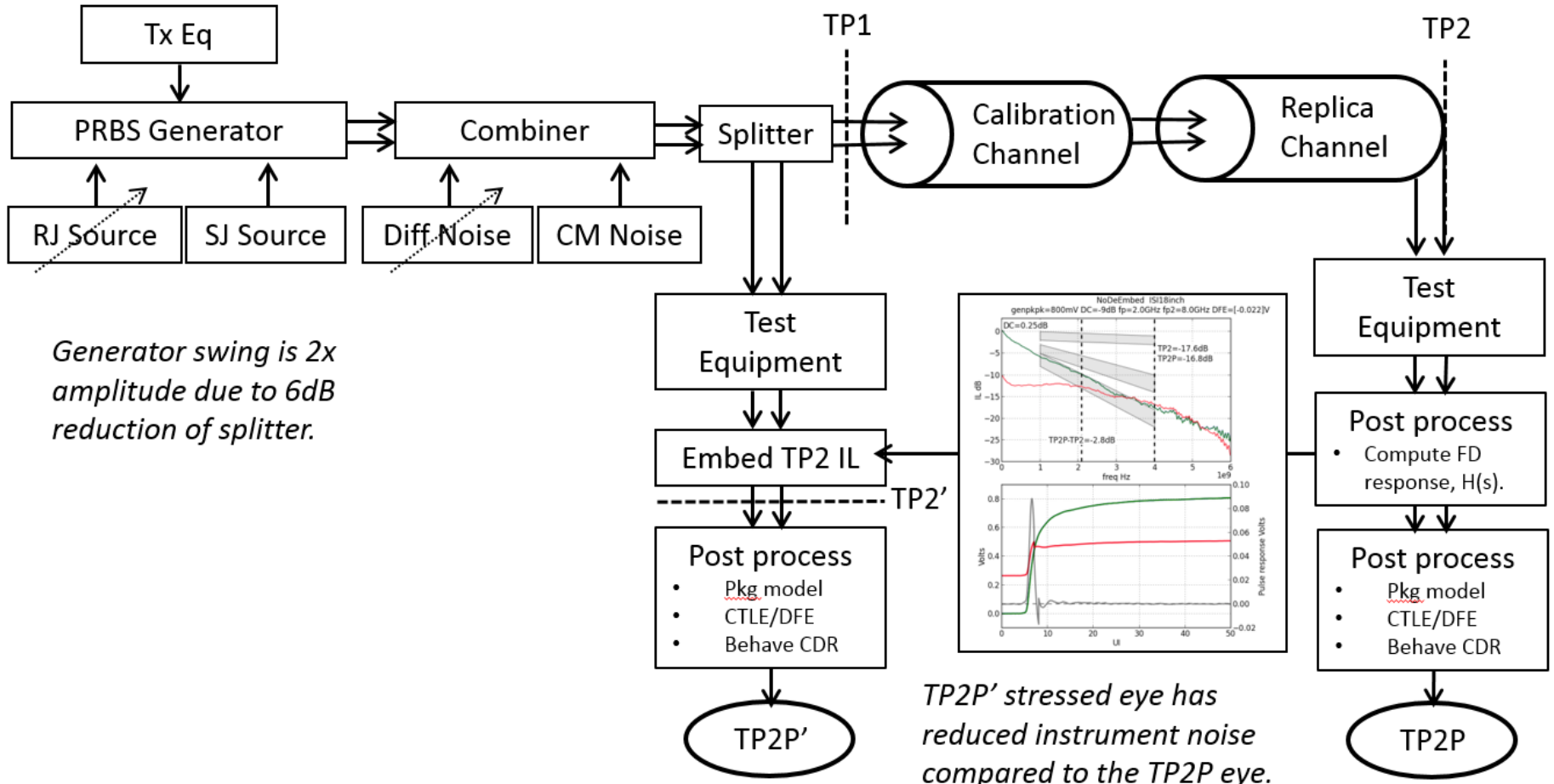


# Equalization Sweep





# Receiver Stressed Eye Calibration



# Reference Clock

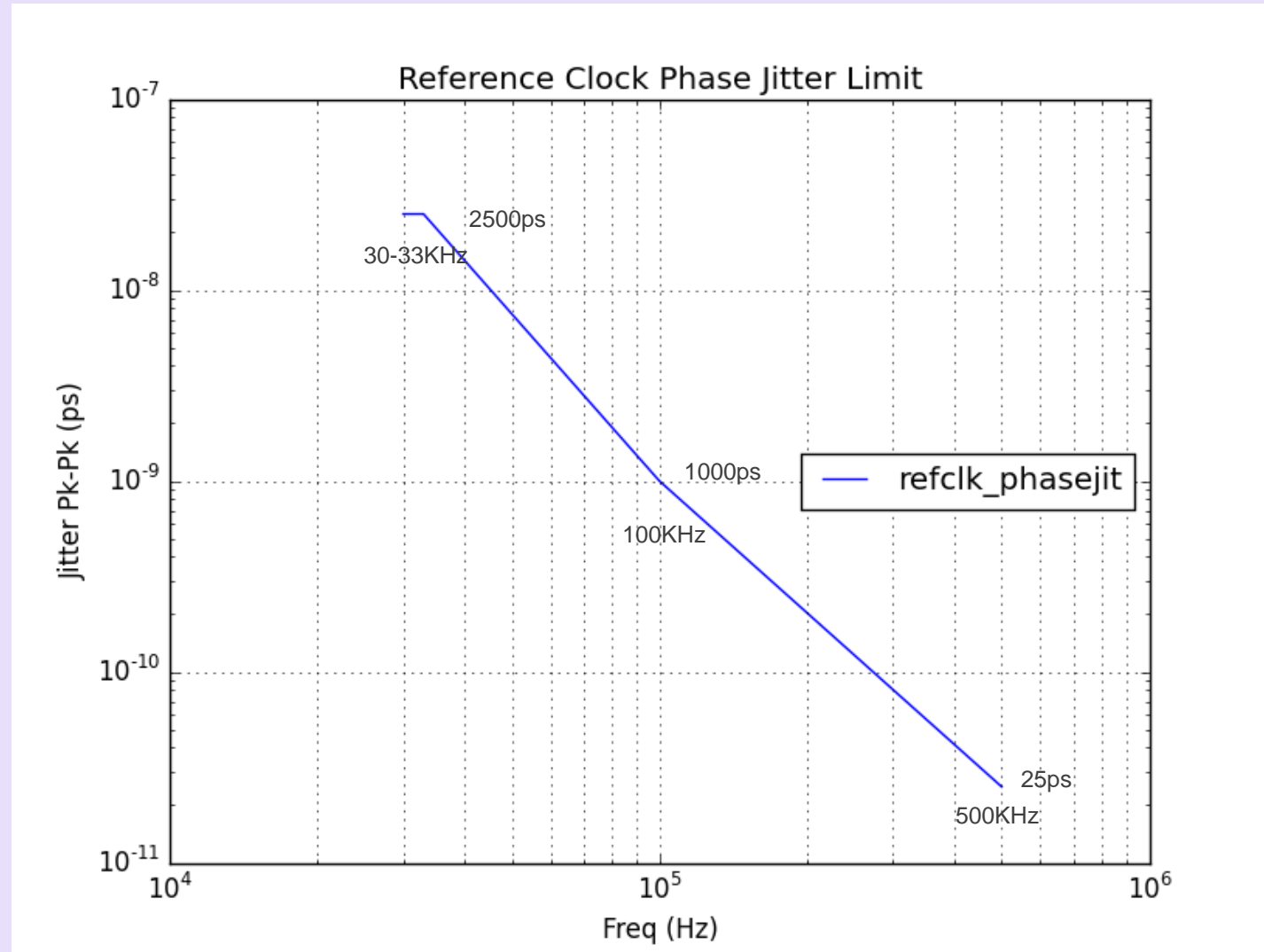
# Refclk Specifications

- Architecture independent parameters
- Architecture dependent parameters
  - ✓ Common Clock (CC) and Independent Reference Clock (IR) filter functions
    - IR with SSC (SRIS) defined in 3.0 ECN and 4.0 specification
  - ✓ Explicit listing of all combinations of PLL and CDR limits that need to be evaluated
- Normative limits for CC
- Informative limits for IR (may be removed)
- A PHY may support one or more modes

- Note that  $T_{SSC\_MAX\_PHASE\_SKEW}$  is no longer defined
- There is now an explicit freq vs. amplitude mask for SSC profile phase jitter

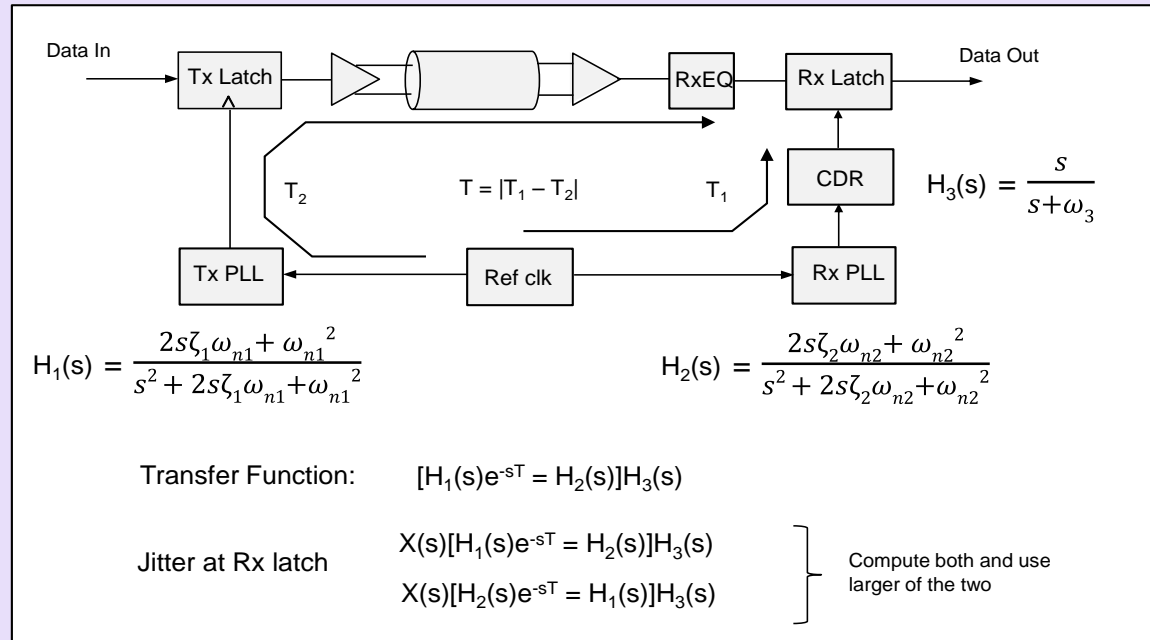
Symbol	Description	Limits	Units	Notes
$F_{REFCLK}$	Refclk Frequency	99.97 (min), 100.03 (max)	MHz	
$F_{SSC}$	SSC frequency range	30 (min), 33 (max)	KHz	
$T_{SSC-FREQ-DEVIATION}$	SSC deviation	-0.5 (min), 0.0 (max)	%	
$T_{TRANSPORT-DELAY}$	Tx-Rx transport delay	12 (max)	Nsec	1
$T_{SSC-MAX-FREQ-SLEW}$	Max SSC df/dt	1250	ppm/usec	2

# Low Frequency Reference Clock Jitter Limits (Mask)

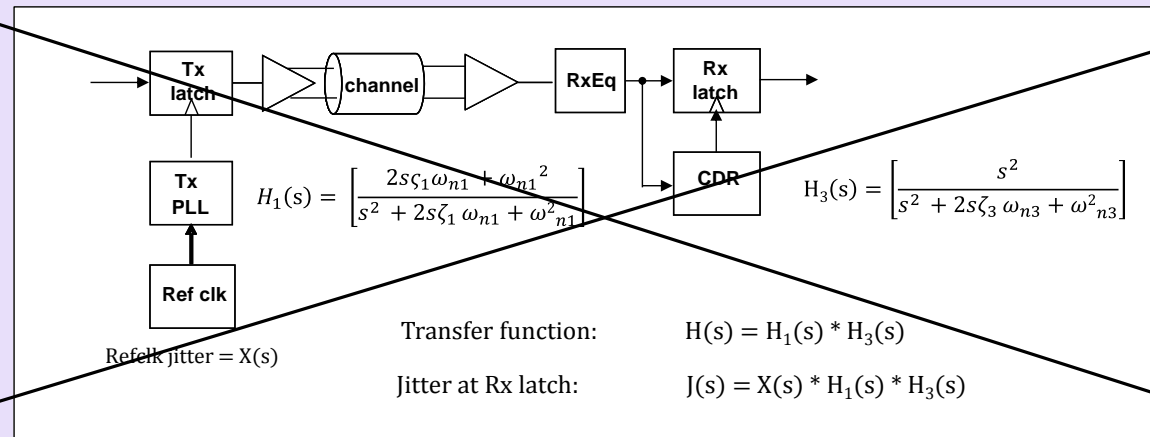


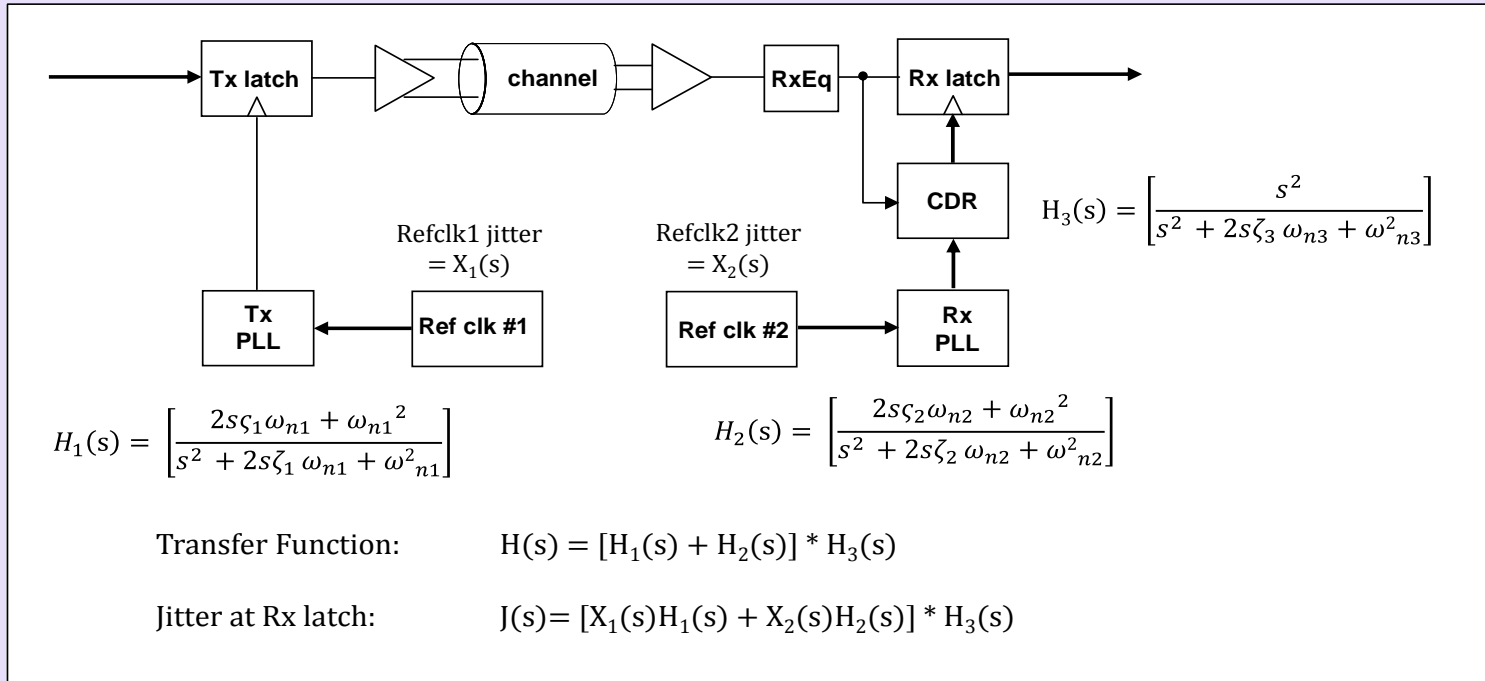
# Refclk Topologies

## Common Refclk



## Data Clocked





- Incorrect for Clock Test – Options to fix
  - ✓  $X_1(s)H_1(s)*H_3(s) < .7$  ps (.5 ps in ECN)
    - 8 GT/s (same as SRIS ECN) and 16GT/s
  - ✓ Define reference worst case  $H_2(s)$

# SRIS/IR Reference Clock Test

- Currently informative in 4.0
- Pessimistic – assumes worst case specification compliant model PLL transfer function
- Difficult to meet for current discrete clock chips – even with improved model CDR
- Should 100 MHz frequency be required/IMPLIED for a SRIS/IR only implementation?
- Reference clock test not specified by other standards with similar PHY architectures
  - ✓ USB 3.0, 3.1, SATA
- Current direction to remove altogether for SRIS/IR Mode
  - ✓ Allow maximum implementation PLL/Transmitter trade-off

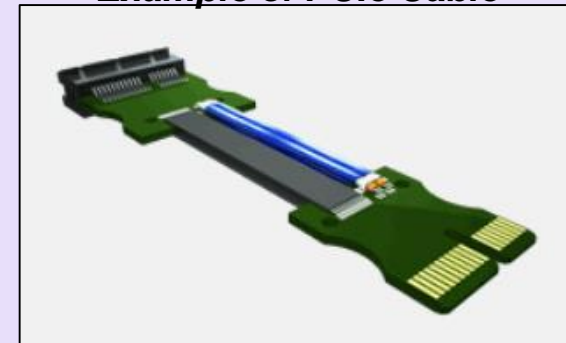


# Separate Reference Clocks with Independent SSC (SRIS)

# Inexpensive Cabling & Independent SSC Reference

- Previous spec releases did not support independent reference clocks with spread spectrum
  - ✓ Estimated cable cost with integrated reference clock transmission line ~\$1; double the cost of a common SATA cable
- SRIS released in PCIe Base Spec 3.0 ECN
  - 1) Larger elasticity buffer requirement
  - 2) Increased insertion frequency of SKIP ordered sets
  - 3) CDR transfer function spec changes; no impact to transmitter or reference clock requirements
  - 4) Second ECN updates Model CDRs
  - 5) Introduces terms for Separate Refclk Modes of Operation
    - 5600ppm (New – SRIS) and 600ppm (Existing - SRNS)
- Creates new form factor opportunities for PCIe
  - ✓ SATA Express: Connector for PCIe SSD compatible with SATA
  - ✓ Lower cost external cabled PCIe

*Example of PCIe Cable*



# 4.0 SRIS/IR Model CDRs (First 3.x ECN CDR)

For bitrate 2.5GT/s & 5GT/s:

$$H(s) = \frac{s^2}{s^2 + s\zeta\omega_o s + \omega_o^2}; \quad \zeta = \frac{1}{\sqrt{2}};$$

if SRIS 2.5GT/s:

$$\omega_o = 1.5\text{MHz} * 2\pi$$

elif SRIS 5GT/s:

$$\omega_o = 5\text{MHz} * 2\pi$$

For bitrate 8GT/s & 16GT/s:

$$H(s) = \frac{s^2}{s^2 + sA + B} * \frac{s^2 + 2\zeta_2\omega_o s + \omega_o^2}{s^2 + s\zeta_1\omega_o s + \omega_o^2}$$

$$\zeta_1 = \frac{1}{\sqrt{2}}; \quad \zeta_2 = 1; \quad \omega_o = 10^7 * 2\pi$$

if SRIS 8GT/s:

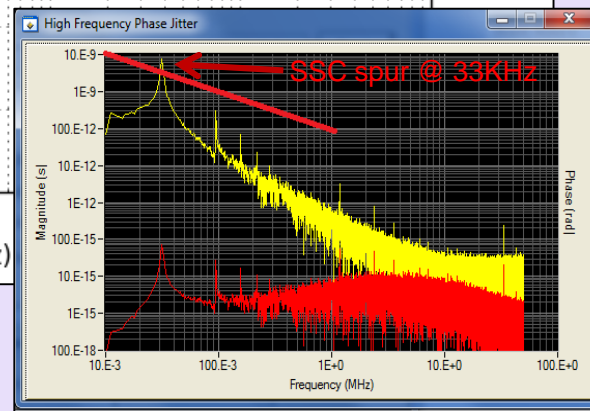
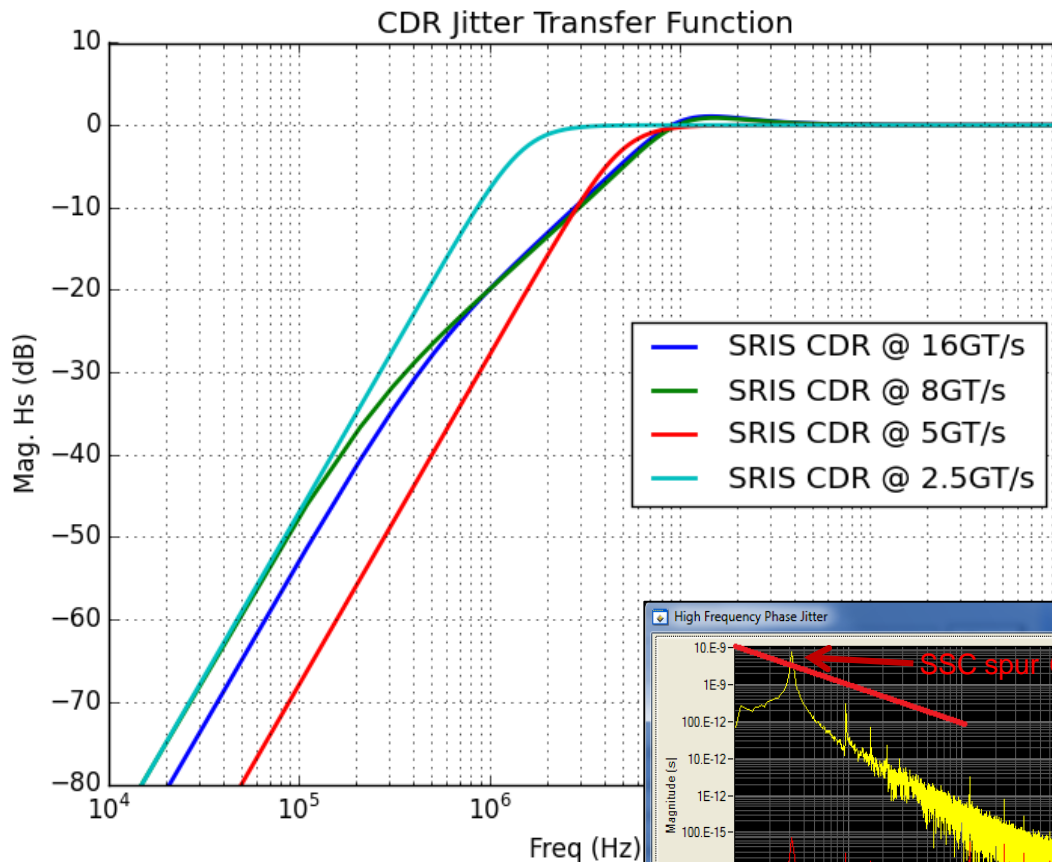
$$A = 1e^7 * 2\pi$$

$$B = 2.2e^{12} * (2\pi)^2$$

elif SRIS 16GT/s:

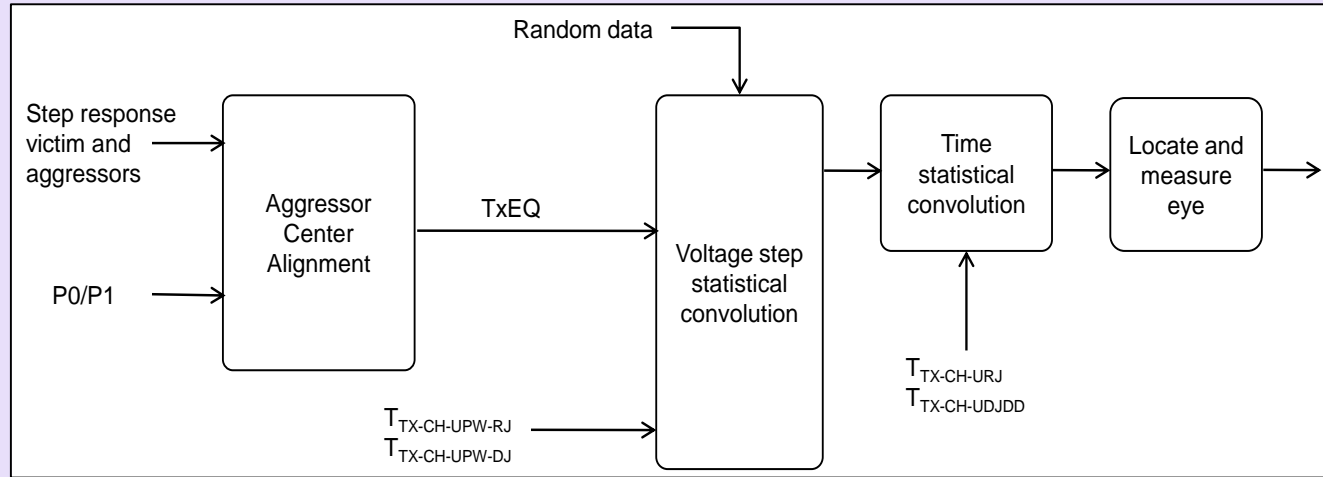
$$A = 9.5e^6 * 2\pi$$

$$B = 4.36e^{12} * (2\pi)^2$$

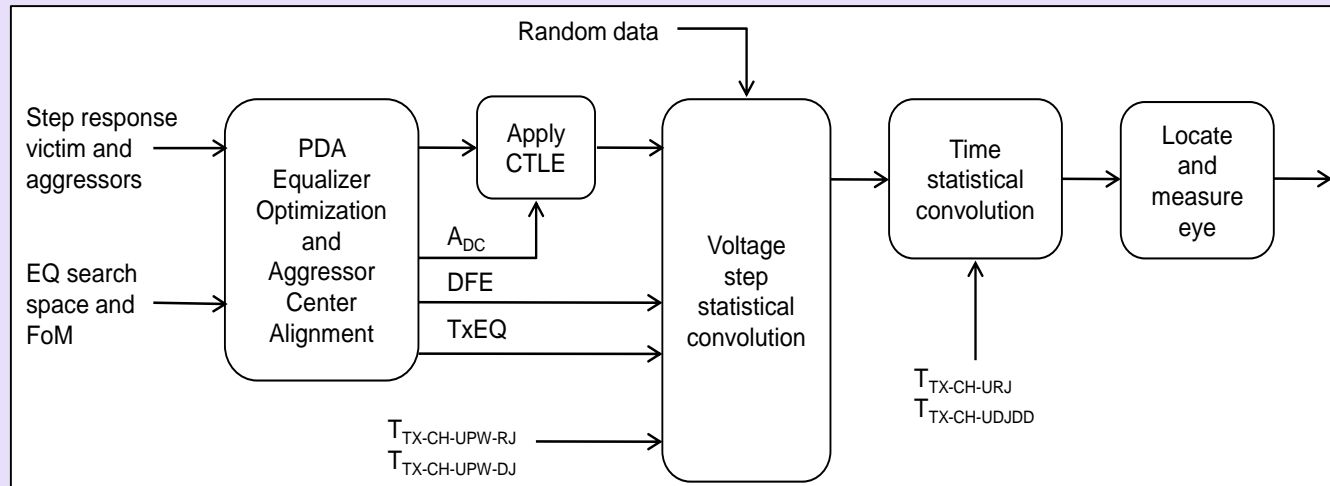


# Design & Simulation

# Channel Simulation



2.5G, 5.0G



8.0G, 16G



# Seasim

# Tool Improvements Needed

- Channel response at >8GHz is affected by large number of features in the channel
  - ✓ Pre-layout evaluation of topology choices is a complicated multi-dimensional problem
  - ✓ Need to be able to quickly build and test many different options
  - ✓ Large number of HVM permutations need to be evaluated to determine robustness of solution
- Seasim has been enhanced to allow EWG members to efficiently evaluate these options
  - ✓ Once validated this tool will be made available to the PCI-SIG membership for 4.0 channel compliance

# Improvements to Seasim

- Addition of a GUI form based interface
  - ✓ Underlying config file interface to seasim is unchanged
  - ✓ A simple form based dialogue tool added
  - ✓ Tab based interface to group config controls by context
  - ✓ Ability to save and load configurations
  - ✓ Launch (and kill) seasim from GUI
- Touchstone channel modeling
  - ✓ A set of touchstone files can be cascaded to form die-pad to die-pad channel
  - ✓ A vector of left hand and right hand ports define connections between S-parameters
  - ✓ Rx port and set of Tx ports define step responses to be generated
  - ✓ Tx amplitude and Gaussian bandwidth can be specified
  - ✓ Addition of a PCIe 4.0 include file for simulation conditions



- Allows whatif analysis on the channel components by changing the touchstone files that are concatenated together for the channel
- Different analyses can be selected as the channel is 'tuned'
- Either a pre-saved config can be loaded or the pcie-gen4.inc for normal sim conditions
- The other tabs allow simulation conditions to be changed from the default config

Seasim-0.51 - client-channel.sea (root\_dir: C:\hw\PCIE4\Gen4-channels)

File Include

Main S-Parameters Jitter/Noise Equalizers Step Responses Configuration Sweep

Use touchstone files  Plot S-parameters

Plot TDR  Plot Steps

Statistical Eye  Measure EIEOS

Measure PS21TX  Show plots

Job name

Step response relative path step\_responses

Base name for step

S-parameter relative path S-parameters

#	N	Touchstone files	Input Ports	Output Ports
1	<input type="checkbox"/>	1 Root Package	range(1,7)	range(7,13)
2	<input checked="" type="checkbox"/>	1 caps/caps_shunt_0.15pF.s12p	range(1,7)	range(7,13)
3	<input checked="" type="checkbox"/>	1 tcoil/tcoil-od108um.s12p	range(1,7)	range(7,13)
4	<input checked="" type="checkbox"/>	1 pkg_etch/etch_pkg_slime_z80_loloss_0mm.s12p	range(1,7)	range(7,13)
5	<input checked="" type="checkbox"/>	1 pkg_etch/etch_pkg_slime_z80_loloss_10mm.s12p	range(1,7)	range(7,13)
6	<input checked="" type="checkbox"/>	1 caps/caps_shunt_0.20pF.s12p	range(1,7)	range(7,13)
7	<input checked="" type="checkbox"/>	1 socket/G34_skt.s38p	[1,3,4,7,8,11]	[20,22,23,26,27,30]
8	<input type="checkbox"/>	1 Motherboard		
9	<input checked="" type="checkbox"/>	1 pinescape-via/4layer_pinescape_top2bot.s12p	range(1,7)	range(7,13)
10	<input checked="" type="checkbox"/>	1 pcb_ustrip/etch_ustrip_s5_z70_hiloss_0.30inch.s12p	range(1,7)	range(7,13)
11	<input checked="" type="checkbox"/>	1 pcb_ustrip/etch_ustrip_s30_z70_hiloss_0.00inch.s12p	range(1,7)	range(7,13)
12	<input checked="" type="checkbox"/>	1 pcb_ustrip/etch_ustrip_s30_z70_hiloss_1.00inch.s12p	range(1,7)	range(7,13)

Unit interval in secs 1/16e9 # Time in secs

Total number of channels 3

**Run** **Stop**

Setting: isi\_xtalk\_ratio=2 # Ratio vpt to max ISI and Xtalk magnitude (divisible by 2 or 1)

Setting: write\_prob=False

Setting: job\_name=

Setting: write\_log=True

Setting: adapt\_DE=[] # Disable DE adaptation

Setting: #sweep\_yfilters=[]

Setting: ps21tx\_eqstep=False

Setting: step\_path=step\_responses

Setting: plugin=

Setting: lti\_step=

Setting: debug\_level=0x0

Setting: tstone\_path=S-parameters

# Client HVM Sweeps

- At 16GT/s small changes in the channel have a big impact on eye opening
  - ✓ An end-end reflection peaks and nulls when flight time changes by 62.5ps or ~0.42"
  - ✓ Impedance variations between motherboard and add-in card introduce low frequency reflections that interact with the adapted EQ solution
  - ✓ Different root complex package responses vary significantly
  - ✓ Topology differences between top and bottom microstrip routing impact reflections
  - ✓ Different connector models impact channel reflections
- To capture solution space sensitivity channel parameters can be swept using seasim
  - ✓ Set of component touchstone files built
  - ✓ Seasim sweep capability allows large number of cases to be studied
    - In this example ~15,000 cases tested

- Seasim can be used to define HVM sweeps
- The channel model can be swept to represent manufacturing variations of impedance or loss
- To consider the impact of different PCB layout the length of different channel segments can be swept
- Seasim will launch jobs in parallel then collect results and plot them

#	SG	token	00	01	02	03	04	05	06	07	08	
1		<i>Motherboard</i>										
2	<input checked="" type="checkbox"/>	1	tstone_ports, 11	0.00inch	0.10inch	0.20inch	0.30inch	0.40inch	0.50inch	0.60inch	0.70inch	0.80inch
3	<input checked="" type="checkbox"/>	2	tstone_ports, 12	1.00inch	4.00inch	10.00inch						
4	<input checked="" type="checkbox"/>	3	tstone_ports,10,11,12,14	z70	z100							
5	<input checked="" type="checkbox"/>	4	tstone_ports,10,11,12,14	hiloss	loloss							
6		<i>Root Package</i>										
7	<input checked="" type="checkbox"/>	5	tstone_ports,4	0mm	1mm	2mm	3mm	4mm				
8	<input checked="" type="checkbox"/>	6	tstone_ports,5	10mm	15mm	20mm	25mm					
9	<input checked="" type="checkbox"/>	7	tstone_ports,4,5	loloss	hiloss							
10	<input checked="" type="checkbox"/>	8	tstone_ports,4,5	z80	z90							
11		<i>EP Package</i>										

Sweep base name: root-pkg-sweep2

Concurrent sims, jobs per sim: 2

Run sweep jobs

Kill running jobs

Check job status

List of Sweep Groups for x axis: [5,6]

Dictionary of "variable":limit: { 'sp\_pk\_il':-4, 'sp\_pk\_rxl':-4, 'sp\_pk\_snr':20 }

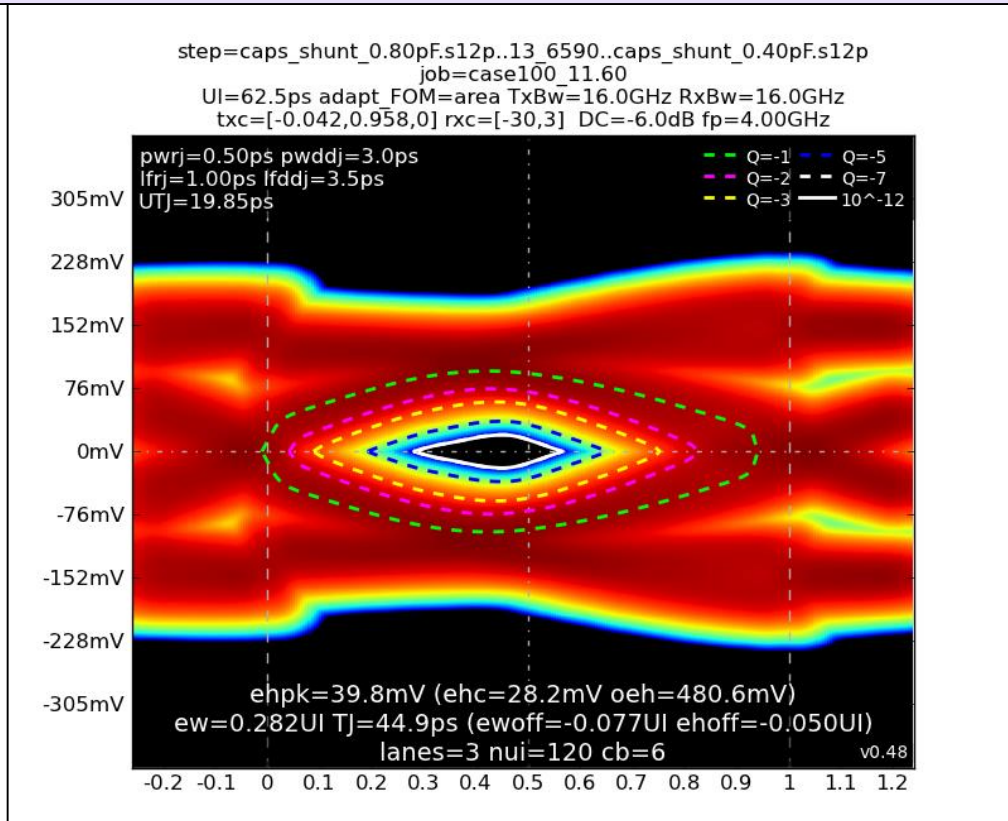
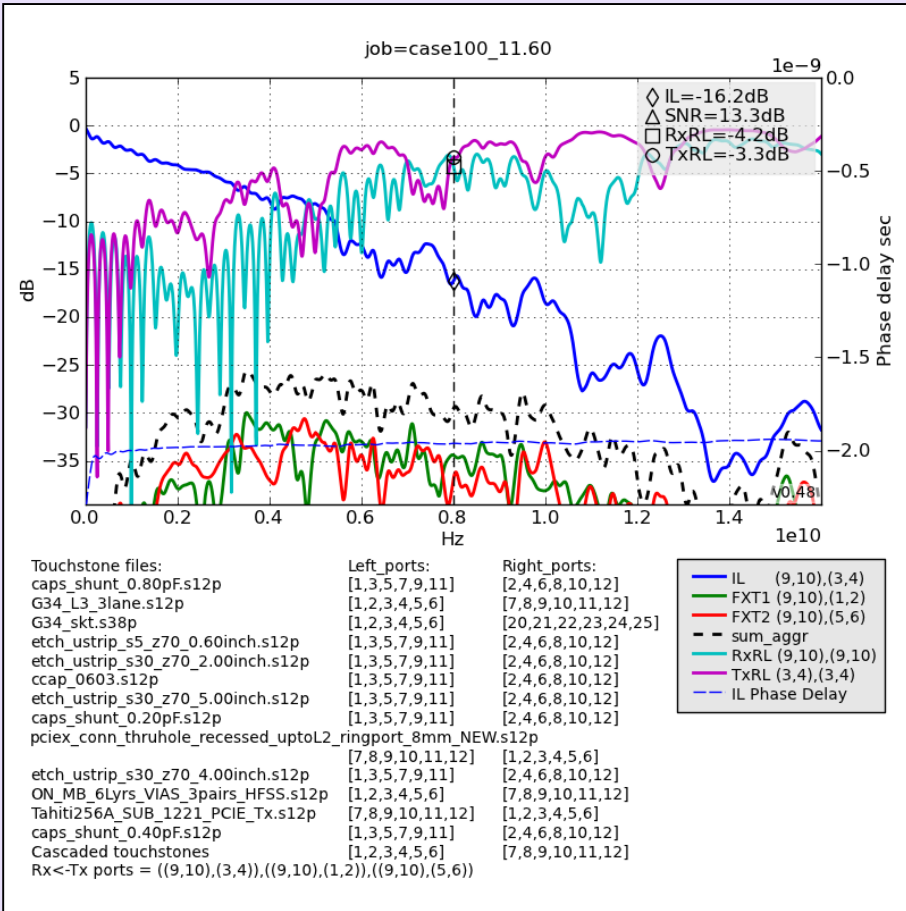
List of anded text filters for y axis: []

Use sweep text for plot labels:

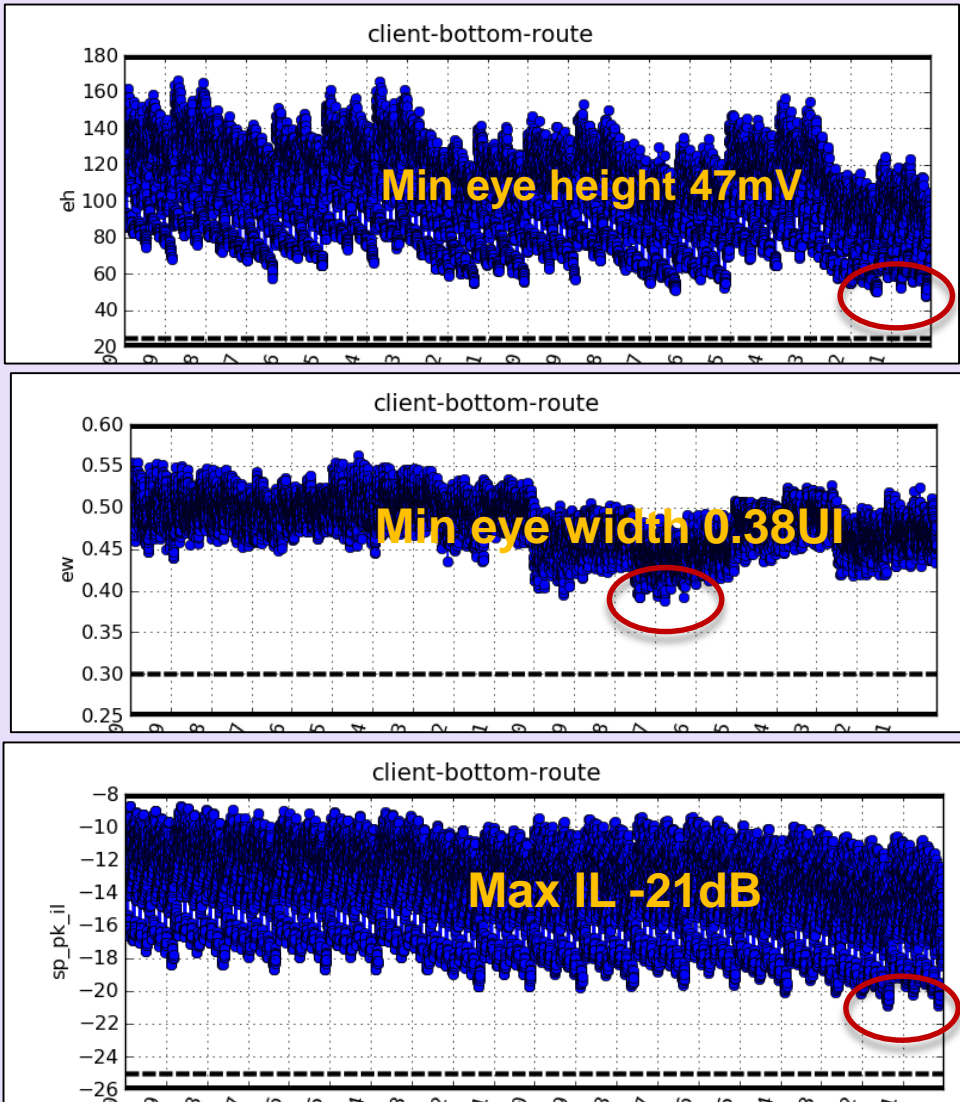
Plot sweep results

Delete logs

# Example Client Channel Simulation



# Client Channel HVM Sweep



- Variables swept:
  - ✓ Motherboard:
    - Length: 1-11"
    - Impedance 70/100ohm
  - ✓ Root package
    - Length 10-30mm
    - Impedance 80/90ohm
    - Loss hi/lo
  - ✓ EP package
    - Length 10/30mm
    - Impedance 80/90
    - Loss hi/lo
  - ✓ AIC etch
    - 70/90
- 15,360 cases

Thank you for attending the  
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